

JL

08/17/2004 14:17 FAX

JCWSCS 1 8 AUG 2004

SEED IP LAW GROUP PLLC

001

Seed<sup>IP</sup>

August 17, 2004

## Facsimile Transmission

Seed Intellectual Property Law Group PLLC

To: OIPE / Filing Receipt Corrections

Fax No: 703-746-9195

Phone No:

In Re: Thomas H. Schulte et al., USAN 10/789,376, filed February 27, 2004,  
for WELL-PLATE MICROFLUIDICS

Your Ref:

Seed IP Ref: 660115.428C1

No. of Pages: 12 (including this page)

If you do not receive all pages, please call Carol Williams at (206) 622-4900 or fax our office.

address: 701 Fifth Avenue  
Suite 6300  
Seattle, WA 98104  
telephone: 206.622.4900  
facsimile: 206.682.6031  
website: SeedIP.com

Urgent  For Review  Please Confirm Receipt  Please Reply ASAP

Comments:

### Transmission Information:

Date:

Time:

By:

### CONFIDENTIALITY NOTICE:

The information contained in this facsimile message is legally privileged and/or confidential information intended only for the use of the addressee named above. If you are not the intended recipient, you are hereby notified that any use, dissemination, distribution, or copying of this facsimile or its content is strictly prohibited. If you have received this facsimile in error, please immediately notify us by telephone and return the original facsimile message to us by mail or destroy it without making a copy. Thank you.

lk

## TRANSMITTAL FORM

*(To be used for all correspondence  
after initial filing)*

Application Number	10/789,376
Filing Date	February 27, 2004
First Named Inventor	Thomas H. Schulte
Art Unit	1743
Examiner Name	
Attorney Docket No.	660115.428C1

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement; Form PTO-1449 <input type="checkbox"/> Cited References <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Drawing(s) <input checked="" type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund	<input type="checkbox"/> CD(s), Number of CD(s) _____ <input type="checkbox"/> After Allowance Communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
---	--	---

---

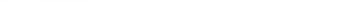
**Remarks**

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

SIGNATURE OF AFFILIATE, ATTORNEY, OR AGENT		
Individual Name	Emily W. Wagner Registration No. 50,922	Customer Number 00500
Signature		
Date	8/17/04	

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Carol Williams	
Signature		Date: August 17, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PATENT

I hereby certify that this paper is being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below:

August 17, 2004

Date

*Carol Williams*

Carol Williams

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Thomas H. Schulte et al.

Application No. : 10/789,376

Filed : February 27, 2004

For : WELL-PLATE MICROFLUIDICS

Art Unit : 1743

Docket No. : 660115.428C1

Date : August 17, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents:

Attached is a copy of the official Updated Filing Receipt received from the PTO in the above-identified application, for which issuance of a corrected Filing Receipt is respectfully requested.

There is an error with respect to the following data. A copy of the Application Data Sheet as submitted February 27, 2004 is also submitted herewith, indicating this information.

There is an error in the first-named inventor's name, which should read:

Thomas H. Schulte (middle initial was missing)

Application No. 10/789,376

There is an error in the third-named inventor's name, which should read:

**Christopher J. Morris** (first name shortened  
and middle initial missing)

Respectfully submitted,  
Seed Intellectual Property Law Group PLLC

Emily W. Wagner

Emily W. Wagner  
Registration No. 50,922

EWW:cw

Enclosures:

Copy of Updated Filing Receipt with corrections noted  
Copy of Application Data Sheet filed 02/27/04

701 Fifth Avenue, Suite 6300  
Seattle, Washington 98104-7092  
Phone: (206) 622-4900  
Fax: (206) 682-6031

508541\_1.DOC

ewew



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/789,376 ✓	02/27/2004	1743	698	660115.428C1 ✓	9	38	5

00500

SEED INTELLECTUAL PROPERTY LAW GROUP PLLC  
 701 FIFTH AVE  
 SUITE 6300  
 SEATTLE, WA 98104-7092

CONFIRMATION NO. 5128

UPDATED FILING RECEIPT

\*OC000000013522629\*

\*OC000000013522629\*

Date Mailed: 08/12/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Thomas Schulte, Redmond, WA;  
 Bernhard H. Weigl, Seattle, WA;  
 Chris Morris, Redmond, WA;  
 Natasha Kesler, Bothell, WA;

## Should be:

Thomas H. Schulte

## Assignment For Published Patent Application

Micronics, Inc., Redmond, WA;

## Should be:

Christopher J. Morris

## Domestic Priority data as claimed by applicant

This application is a CON of 09/932,687 08/17/2001 PAT 6,742,661  
 which claims benefit of 60/281,114 04/03/2001

## Foreign Applications

If Required, Foreign Filing License Granted: 05/18/2004

Projected Publication Date: 11/18/2004 ✓

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\*****Title**

Well-plate microfluidics

**Preliminary Class**

422

**LICENSE FOR FOREIGN FILING UNDER****Title 35, United States Code, Section 184****Title 37, Code of Federal Regulations, 5.11 & 5.15****GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).